### OFFICE OF NAVAL RESEARCH

CONTRACT N00014-89-J-1828

R&T Code 3132080

Abstract Report #6

FROM LIQUID CRYSTAL POLYMERS CONTAINING CROWN ETHERS TO TAPERED BUILDING BLOCKS CONTAINING CROWN ETHERS WHICH SELF-ASSEMBLE INTO TUBULAR SUPERMOLECULES

Accesion For

NTIS CRA&I
DTIC TAB
Unannounced
Justification

By
Distribution/
Availability Codes

Dist
Avail and/or
Special

by

V. Percec and G. Johansson

Submitted

to

Macromolecular Symposia

in press



Department of Macromolecular Science Case Western Reserve University Cleveland, OH 44106-7202

May 9, 1995

19950516 053

Reproduction in whole or in part is permitted for any purpose of the United States Government

This document has been approved for public release and sale; its distribution is unlimited.

DITO GUALIZI INSPECTED 5

# REPORT DOCUMENTATION PAGE

Form Approved

OMB No. 0704-0188

Social control of the second o

1. AGENCY USE ONLY (Leave blank)	2. REPORT DATE 5-9-95	3. REPORT TYPE AND DATES COVERED Abstract Report # 6	
4. TITLE AND SUBTITLE From Liquid Crystal Polymers Containing Crown Ethers to Tapered Building Blocks Containing Crown Ethers which Self-Assemble into Tubular			5. FUNDING NUMBERS N00014-89-J-1828
6. AUTHOR(S)			
V. Percec and G. Joha	11155011		
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Department of Macromolecular Science Case Western Reserve University Cleveland, OH 44106-7202			8. PERFORMING ORGANIZATION REPORT NUMBER N00014-89-J-1828
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)  Department of Navy  Office of Naval Research  800 North Quincy Street  Arlington, VA 22217-5000		10. SPONSORING/MONITORING AGENCY REPORT NUMBER  Abstract Report #6	
11. SUPPLEMENTARY NOTES  Macromolecular Sympo	sia, in press.		
12a. DISTRIBUTION / AVAILABILITY STAT	EMENT		12b. DISTRIBUTION CODE

#### 13. ABSTRACT (Maximum 200 words)

Molecular recognition directed self-assembly of supramolecular architectures or noncovalent synthesis, and molecular recognition directed self-assembly of transition states or self-synthesis, are two of the most active topics of research in the area of supramolecular chemistry. We are concerned with the use of the simplest endo-receptor i.e., crown ether in the design of two classes of systems. The first one is a system which is externally regulated by molecular recognition processes via a crown ether endo-receptor. This system is based on various classes of liquid-crystalline polymers which exhibit phase transitions that are manipulated by the reversible complexation of the crown ether present in different parts of their repeat unit with metal salts. The information gained from these experiments is then exploited in the design of the second group of systems. This consists of self-assembling building blocks containing various combinations of crown ether as endo-receptor and a tapered group as exoreceptor. Upon complexation with metal salts, these building blocks self-assemble into tubular supramolecular architectures. Therefore, while the first system exhibits molecular recognition directed phase transitions, the second one self-assembles into tubular supramolecular architectures via various molecular recognition processes. These tubular supramolecular architectures display a thermotropic hexagonal columnar ( $\Phi_h$ ) liquid crystalline phase, which enables the structure of the self-assembled supramolecular architecture to be determined by X-ray diffraction experiments. Since the formation of a liquid-crystalline phase is a thermodynamically controlled process, its characterization eliminates many of the difficulties encountered in the characterization of selfassembled crystalline structures which are obtained by a kinetically controlled process. The goal of this paper is to discuss selected examples from these two groups of systems elaborated in our laboratory.

14. SUBJECT TERMS			15. NUMBER OF PAGES
			16. PRICE CODE
17. SECURITY CLASSIFICATION OF REPORT	18. SECURITY CLASSIFICATION OF THIS PAGE	19. SECURITY CLASSIFICATION OF ABSTRACT	20. LIMITATION OF ABSTRACT
unclassified	unclassified	unclassified	UL

FROM LIQUID CRYSTAL POLYMERS CONTAINING CROWN ETHERS TO TAPERED BUILDING BLOCKS CONTAINING CROWN ETHERS WHICH SELF-ASSEMBLE INTO TUBULAR SUPERMOLECULES

V. Percec\* and G. Johansson

The W. M. Keck Laboratories for Organic Synthesis, Department of Macromolecular Science, Case Western Reserve University, Cleveland, OH 44106

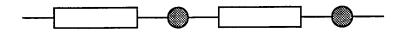
#### INTRODUCTION

Molecular recognition directed self-assembly of supramolecular architectures or noncovalent synthesis (1), and molecular recognition directed self-assembly of transition states or selfsynthesis (2), are two of the most active topics of research in the area of supramolecular chemistry (3). We are concerned with the use of the simplest endo-receptor i.e., crown ether in the design of two classes of systems. The first one is a system which is externally regulated by molecular recognition processes via a crown ether endo-receptor. This system is based on various classes of liquid-crystalline polymers which exhibit phase transitions that are manipulated by the reversible complexation of the crown ether present in different parts of their repeat unit with metal salts. The information gained from these experiments is then exploited in the design of the second group of systems. This consists of self-assembling building blocks containing various combinations of crown ether as endo-receptor and a tapered group as exoreceptor. Upon complexation with metal salts, these building blocks self-assemble into tubular supramolecular architectures. Therefore, while the first system exhibits molecular recognition directed phase transitions, the second one self-assembles into tubular supramolecular architectures via various molecular recognition processes. These tubular supramolecular architectures display a thermotropic hexagonal columnar  $(\Phi_h)$  liquid crystalline phase, which enables the structure of the self-assembled supramolecular architecture to be determined by Xray diffraction experiments. Since the formation of a liquid-crystalline phase is a thermodynamically controlled process, its characterization eliminates many of the difficulties encountered in the characterization of self-assembled crystalline structures which are obtained by a kinetically controlled process. The goal of this paper is to discuss selected examples from these two groups of systems elaborated in our laboratory.

# LIQUID CRYSTALLINE POLYMERS CONTAINING CROWN ETHERS: SYNTHESIS AND MANIPULATION OF PHASE TRANSITIONS BY COMPLEXATION

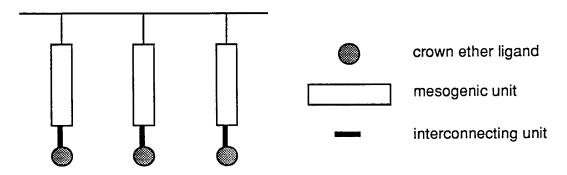
Scheme 1 outlines the two major classes of liquid-crystalline polymers, i.e., main-chain and side-chain, and their architecture which is determined by the place of the repeat unit in which the crown ether endo-receptor is inserted. We have designed main-chain liquid-crystalline polymers containing crown ethers in their flexible spacer (4), and side-chain liquid-crystalline polymers containing crown ethers either in their backbone (5) or in their mesogenic side groups (6,7).

# I. Main-Chain Liquid-Crystalline Polymers

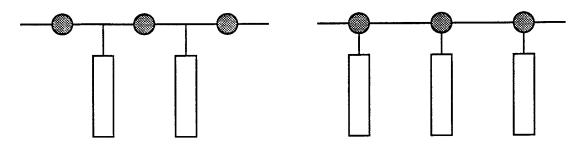


II. Side-Chain Liquid-Crystalline Polymers

## A. Crown ether ligand as part of the mesogenic unit



# B. Crown ether ligand as part of the polymer backbone



Scheme 1. Various architectures of main-chain and side-chain liquid-crystalline polymers containing crown ethers

Scheme 2 outlines the synthetic procedure used in the preparation of the two monomers: 4-{2-[4'-(11-vinyloxyundecyloxy)biphenyl-4-yl]ethyl}benzo-15-crown-5 (VE-BPE) and 4-{2-[4'-(11-methacryloyloxyundecyloxy)biphenyl-4-yl]ethyl}benzo-15-crown-5 (MA-BPE) (7b). The synthetic details of this reaction scheme are available in the original publication (7b). VE-BPE was polymerized by a cationic mechanism employing an initiating system based on CF<sub>3</sub>SO<sub>3</sub>H/(CH<sub>3</sub>)<sub>2</sub>S in methylene dichloride at 0°C. Under these conditions a "living" polymerization is achieved for this functional monomer. The selection of this cationic initiator was based on the use of the soft nucleophile/base, (CH<sub>3</sub>)<sub>2</sub>S, which reacts selectively with the growing carbenium species in a reversible way and therefore, avoids the reaction between the propagating carbenium ions and other nucleophilic functional groups of the monomer which exhibit a harder nucleophilic/basic character. Details of this polymerization and additional demonstrations of the ability of this initiator to generate living cationic polymerizations for a large variety of functional mesogenic vinyl ethers were reviewed elsewhere (8).

Scheme 2. Synthesis of VE-BPE and MA-BPE.

Figure 1a illustrates the cationic polymerization of **VE-BPE** and the structure of the resulted polymer (**PVE-BPE**). **PVE-BPE** with DP = 19 exhibits a crystalline melting followed by an enantiotropic smectic A ( $S_A$ ) mesophase. Figure 1b presents the influence of complexation of **PVE-BPE** (DP = 19) with NaSO<sub>3</sub>CF<sub>3</sub> on the phase behavior of the resulting complex. With the increase of the amount of NaSO<sub>3</sub>CF<sub>3</sub> complexed by the 15-crown-5 moiety of this polymer, both the glass transition and the  $S_A$ -isotropic transition temperatures increase. At the same time the crystalline melting decreases. At higher concentrations of salt, a second smectic mesophase is uncovered.

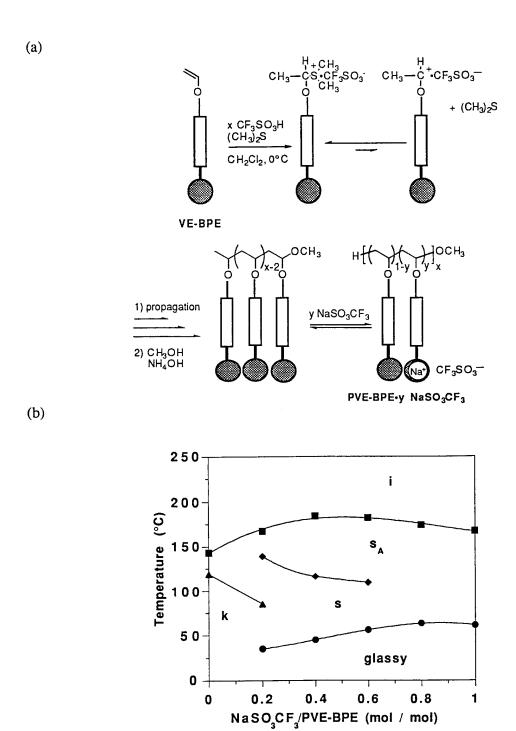
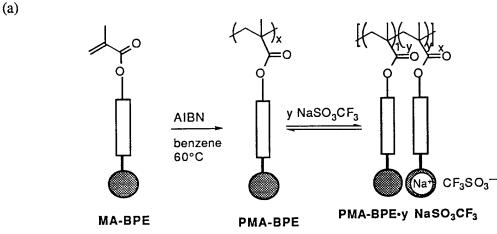


Figure 1: (a) Cationic polymerization of VE-BPE and the complexes of PVE-BPE with NaSO<sub>3</sub>CF<sub>3</sub>. (b) The dependence of various transition temperatures of the complexes of PVE-BPE (DP=19) with NaSO<sub>3</sub>CF<sub>3</sub> on the mole ratio NaSO<sub>3</sub>CF<sub>3</sub>/PVE-BPE (i=isotropic; S and S<sub>A</sub>=smectic and smectic A; k=crystalline).

The S-S<sub>A</sub> transition temperature decreases with the increase of the amount of complexed salt. Figure 2a outlines the radical polymerization of MA-BPE. The resulting polymer PMA-BPE also exhibits a crystalline and a S<sub>A</sub> phase. The effect of complexation of PMA-BPE with NaSO<sub>3</sub>CF<sub>3</sub> on its phase transitions is presented in Figure 2b. The trend obtained from this figure is quite similar to that observed from Figure 1a.



(b) 250

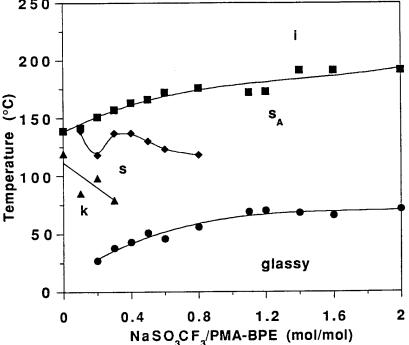
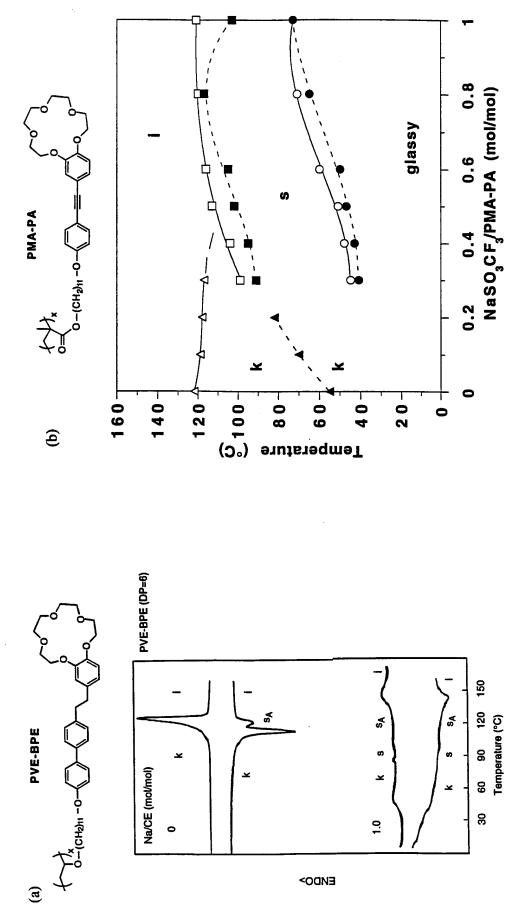


Figure 2: (a) Radical polymerization of MA-BPE and the complexes of PMA-BPE with NaSO<sub>3</sub>CF<sub>3</sub>. (b) The dependence of various transition temperatures of the complexes of PMA-BPE with NaSO<sub>3</sub>CF<sub>3</sub> on the mole ratio, NaSO<sub>3</sub>CF<sub>3</sub> / PMA-BPE (i=isotropic, S and S<sub>A</sub>=smectic and smectic A, k=crystalline).

The general message provided by these experiments is that complexation of the crown ether moiety of a side-chain liquid-crystalline polymer with metal salts enhances the stability of the lowest-order liquid-crystalline phase and decreases both the tendency towards crystallization as well as the tendency towards formation of higher-ordered liquid-crystalline phases. As a consequence, this molecular recognition effect can be expected to transform a crystalline polymer into a polymer displaying a monotropic or even an enantiotropic liquid-crystalline phase. For example, Figure 3a presents the heating and cooling differential scanning



(a) DSC analysis of PVE-BPE (DP=6) and of its complex, NaSO<sub>3</sub>CF<sub>3</sub>/PVE-BPE (1.0/1.0, mol/mol); CE is crown ether. (b) The dependence of various transition temperatures of the complexes of PMA-PA with NaSO<sub>3</sub>CF<sub>3</sub> on the ratio NaSO<sub>3</sub>CF<sub>3</sub>/PMA-PA (mol/mol). All data were obtained with 20°C min-1. Data from the second heating scan are: O - Tg;  $\Delta$  -  $T_{k-i}$ ;  $\Box$  -  $T_{\Phi h-i}$ . Data from the cooling scans are:  $\bullet$  - Tg;  $\blacktriangle$  -  $T_{i-k}$ ;  $\blacksquare$ -  $T_{i-\Phi h}$ . Figure 3:

calorimetic (DSC) traces of PVE-BPE with DP = 6 which exhibits only a monotropic  $S_A$  phase. Upon complexation with 1.0 moles of NaSO<sub>3</sub>CF<sub>3</sub>, this polymer exhibits an enantiotropic  $S_A$  and an additional unidentified (S) smectic mesophase (7b). This experiment demonstrates the transformation of a monotropic  $S_A$  phase into an enantiotropic one and of a virtual smectic phase (S) into a monotropic one. Figure 3b demonstrates the transformation of the crystalline polymer, poly[4-(2-{4-[11-(methacryloyloxy)undecyloxy]-phenyl}ethynyl)benzo-15-crown-5] (PMA-PA) (6a), into a polymer which exhibits an enantiotropic  $S_A$  phase (7a). This experiment demonstrates that complexation can transform a virtual mesophase into an enantiotropic one.

The ability to manipulate and to stabilize liquid-crystalline mesophases by complexation is strongly dependent on the size of the crown ether, of the cation, and on the nature of the counteranion.

MOLECULAR-RECOGNITION-DIRECTED SELF ASSEMBLY OF TAPERED GROUPS CONTAINING CROWN ETHERS INTO TUBULAR SUPRAMOLECULAR ARCHITECTURES

Scheme 3 outlines the synthesis of a series of self-assembling building blocks containing 15crown-5 based endo-receptors and 3,4,5-tris(p-alkoxybenzyloxy)benzoate (i.e., 12-ABG-B15C5, 4-ABG-15C5, 6-ABG-15C5 and 12-ABG-15C5) or 3,4,5tris(dodecyloxy)benzoate (12-AG-15C5)-tapered side groups as exo-receptors (9). All of these building blocks form lamellar crystalline phases. However, upon complexation with alkali metal salts those with twelve carbons in their alkyl tails self-assemble into tubular supramolecular architectures which generate a hexagonal columnar  $(\Phi_h)$  thermotropic mesophase. Figure 4a presents a representative example of a series of DSC traces for the complexes of 12-ABG-B15C5 with KSO<sub>3</sub>CF<sub>3</sub>. The amount of salt in the complex is indicated on the figure. It is sufficient to add 0.1 moles of KSO<sub>3</sub>CF<sub>3</sub> per crown ether to suppress the crystallization ability of 12-ABG-B15C5 and generate a supramolecular disordered structure which displays a glass transition temperature (Tg). The presence of a Tg indicates the creation of a polymer-like cooperative motion upon complexation. Addition of 0.2 moles of KSO<sub>3</sub>CF<sub>3</sub> per crown ether produces a supramolecular tubular architecture. This amount of salt is sufficient to overcome the entropy loss required for the self assembly of 12-ABG-B15C5 into the tubular structure. Larger amounts of salt continue to stabilize the supramolecular tubular structure which is responsible for the formation of the  $\Phi_h$  liquidcrystalline phase. Figure 4b plots the isotropic-hexagonal columnar  $(T_{i-\Phi h})$  transition temperature as a function of the amount of salt in the complex for a series of four selfassembling building blocks. The values of T<sub>i-Φh</sub> for MSO<sub>3</sub>CF<sub>3</sub>/crown ether (mol/mol) ratios larger than 1.0 are less reliable (9) since in some cases the resulting structures start to decompose. These data demonstrate that the wider 12-ABG-tapered groups produce a more stable tubular structure than the 12-AG groups.

Scheme 3: Synthesis of 12-ABG-B15C5 and n-ABG-15C5

At the same time, for the same 12-ABG-B15C5 building block, complexation with cations which form 2/1 mol/mol crown/metal sandwich-like complexes (i.e.,  $K^+$ ) produce more stable columns than those which form 1/1 mol/mol crown/metal complexes (i.e.,  $Na^+$ ). The X-ray investigation of the  $\Phi_h$  mesophase obtained from these supramolecular tubular architectures suggests the mechanism of self-assembly depicted in Figure 5. The crown ether endoreceptors are segregated in the center of the column with the tapered exo-receptors radiating towards the periphery of the column (9). The tapered shape of the exo-receptor of these building blocks determines the tubular shape of the supramolecular architecture. At the same time the endo-receptor (crown ether) is mainly responsible for the stability of the resulted column. The diameter of these tubular structures is determined both by the size of the tapered group and by the size of the crown ether. The alkyl tails of the tapered side groups are melted on the exterior surface of the tubular structure. The inner and outer diameters of these columns can be engineered via the size of the crown ether, the tapered side group, and the metal salt used in the complexation process. Therefore, the role of the exo-receptor is similar to that of a brick, while that of the endo-receptor is similar to that of the cement used in a construction.

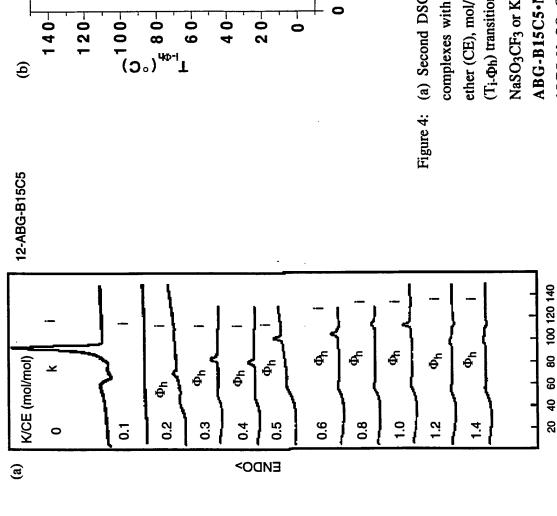


Figure 4: (a) Second DSC heating scans (20°C min-1) of 12-ABG-B15C5 and of its complexes with KSO3CF3 in the ratio, KSO3CF3/12-ABG-B15C5 (K/crown ether (CE), mol/mol). (b) The dependence of the isotropic-hexagonal columnar ABG-B15C5•NaSO<sub>3</sub>CF<sub>3</sub>; ■, 12-ABG-B15C5•KSO<sub>3</sub>CF<sub>3</sub>; ●, 12-ABG- $(T_{i}\text{-}\Phi_{h})$  transition temperatures of the complexes of various building blocks with NaSO<sub>3</sub>CF<sub>3</sub> or KSO<sub>3</sub>CF<sub>3</sub> on the ratio, MSO<sub>3</sub>CF<sub>3</sub>/crown-ether (mol/mol): □, 12-15C5•NaSO3CF3; O, 12-AG-15C5-NaSO3CF3.

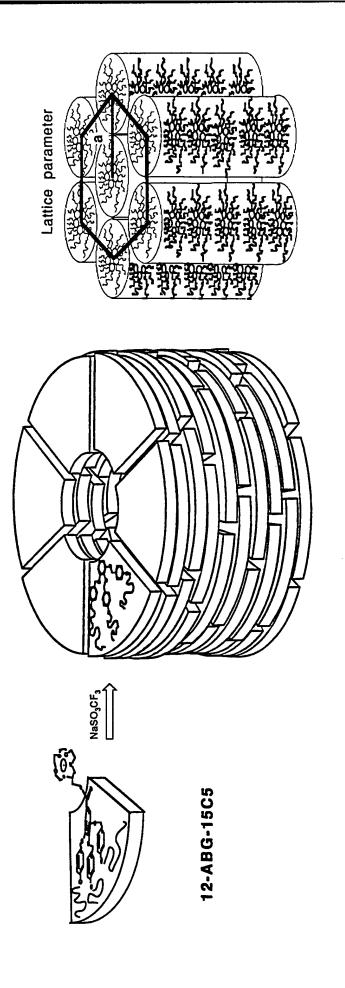
Temperature (°C)

MSO3CF3crown ether (mol/mol)

1.2

0.8

0.4



12-ABG-B15C5 • 1.4 eq. NaSO<sub>3</sub>CF<sub>3</sub> : D= 57.7 Å

12-ABG-15C5 • 1.6 eq. NaSO<sub>3</sub>CF<sub>3</sub>: D = 60.9 Å

12-AG-15C5 • 1.6 eq. NaSO<sub>3</sub>CF<sub>3</sub>: D = 48.0 Å

architecture which is responsible for the generation of the hexagonal columnar ( $\Phi_h$ ) liquid-crystalline supramolecular assembly. The dimension, D, represents the diameter of the supramolecular column (middle) and is equal to the lattice parameter, a (right). Schematic representation of the self-assembly of tapered building blocks containing crown ethers into the tubular supramolecular Figure 5:

The shape and size of the brick determines the shape of the construction and also its stability, while the primary role of the cement is to enhance its stability. Co-assembly of these building blocks with other similar structures is controlled both by the nature of their endo- and exoreceptors (10). These self-assembling building blocks demonstrate a very simple system which contains all of the information required for the self-assembly in its components. At the same time the co-assembly of these tapered units demonstrates the concept of self-checking (10). Finally we would like to mention that these self-assembled tubular supramolecular architectures exhibit ionic conductivity which is strongly dependent on the shape of the supramolecular architecture and therefore, can be switched on or off by a phase transition which changes the supramolecular structure from tubular to lamellar or to a disordered isotropic structure (11). Additional examples of self-assembling building blocks from our (12) and from other (13) laboratories were reviewed elsewhere.

#### **ACKNOWLEDGMENTS**

Financial support by the National Science Foundation (DMR-92-06781) and the Office of Naval Research is gratefully acknowledged.

#### REFERENCES

- For some brief reviews, see for example: (a) J. M. Lehn, Makromol. Chem., Macromol. Symp., 69, 1 (1993); (b) J. S. Lindsey, New J. Chem., 15, 153 (1991); (c) G. M. Whitesides, J. P. Mathias and C. T. Seto, Science, 254, 1312 (1991); (d) G. M. Whitesides, E. M. Simanek, J. P. Mathias, C. T. Seto, D. N. Chin, M. Mammen and D. M. Gorman, Acc. Chem. Res., 28, 37 (1995).
- (2) For a few reviews, see: (a) D. Philp and J. F. Stoddart, *Synlett*, **445** (1991); (b) E. A. Wintner, M. M. Conn and J. Rebek, Jr., *Acc. Chem. Res.*, **27**, 198 (1994).
- (3) (a) J. M. Lehn, Angew. Chem., Int. Ed. Engl., 27, 89 (1988); (b) J. M. Lehn, Angew. Chem., Int. Ed. Engl., 29, 1304 (1990).
- (4) V. Percec and R. Rodenhouse, *Macromolecules*, 22, 2043 (1989).
- (5) (a) R. Rodenhouse, V. Percec and A. E. Feiring, J. Polym. Sci.: Part C: Polym. Lett.,
   28, 345 (1990); (b) V. Percec and R. Rodenhouse, Adv. Mater., 3, 101 (1991).
- (6) (a) V. Percec and R. Rodenhouse, Macromolecules, 22, 4408 (1989); (b) V. Percec and R. Rodenhouse, J. Polym. Sci.: Part A: Polym. Chem. Ed., 29, 15 (1991); (c) G. Ungar, V. Percec and R. Rodenhouse, Macromolecules, 24, 1996 (1991); (d) R. Rodenhouse and V. Percec, Makromol. Chem., 192, 1873 (1991); (e) R. Rodenhouse and V. Percec, Polym. Bull., 25, 47 (1991).
- (7) (a) V. Percec, G. Johansson and R. Rodenhouse, *Macromolecules*, 25, 2563 (1992);(b) V. Percec and G. Johansson, *J. Mater. Chem.*, 3, 83 (1993).
- (8) V. Percec and D. Tomazos, Adv. Mater., 4, 548 (1992).

- (9) (a) V. Percec, G. Johansson, J. Heck, G. Ungar and S. V. Batty, J. Chem. Soc. Perkin Trans. 1, 1411 (1993); (b) G. Johansson, V. Percec, G. Ungar and D. Abramic, J. Chem. Soc. Perkin Trans. 1, 447 (1994).
- (10) D. Tomazos, G. Out, J. A. Heck, G. Johansson, V. Percec and M. Möller, *Liq. Cryst.*, 16, 509 (1994).
- (11) G. Ungar, S. V. Batty, V. Percec, J. Heck and G. Johansson, Adv. Mater. Opt. Electr., 4, 303 (1994).
- (12) (a) V. Percec, J. Heck, G. Johansson, D. Tomazos and G. Ungar, Macromol. Symp., 77, 237 (1994); (b) V. Percec, J. Heck, G. Johansson, D. Tomazos, M. Kawasumi and G. Ungar, J. Macromol. Sci. Pure Appl. Chem., A31, 1031 (1994); (c) V. Percec, J. Heck, G. Johansson, D. Tomazos, M. Kawasumi, P. Chu and G. Ungar, J. Macromol. Sci. Pure Appl. Chem., A31, 1719 (1994); (d) V. Percec, J. Heck, G. Johansson, D. Tomazos, M. Kawasumi, P. Chu and G. Ungar, Mol. Cryst. Liq. Cryst., 254, 137 (1994).
- (13) V. Percec and G. Johansson, "Molecular, Macromolecular and Supramolecular Liquid Crystals Containing Macroheterocyclic Ligands", in *Macromolecular Design of Polymeric Materials*, K. Hatada, T. Katayama and O. Vogl, Eds., M. Dekker, N. Y., in press.